

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.			
Typed or Printed Name	Martha Cisneros		
Signature		Date	August 8, 2000

REQUEST FOR CORRECTED FILING RECEIPT  Address to: Assistant Commissioner for Patents Washington, D.C. 20231	Attorney Docket	GUID-006CON5
	First Named Inventor	Federico J. Benetti et al.
	Application Number	09/480,826
	Filing Date	January 10, 2000
	Group Art Unit	3731
	Examiner Name	Unassigned
	Title	Access Platform For Internal Mammary Dissection

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) The mailing address reads "22249, LYON & LYON LLP, Suite 4700, 633 West Fifth Street, Los Angeles , CA 900712066", but should read --BOZICEVIC, FIELD & FRANCIS, LLP, 200 Middlefield Road, Suite 200, Menlo Park, California, 94025--
- (2) The Attorney Docket No. reads "249/076", but should read --GUID-006CON5--
- (3) The Applicant(s) name reads "Brent Regan, Davis, CA", but should read --Brent Regan, Coeur d'Alene, Idah--

At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is a check.

☒ Charge Account No. 50-0815.

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Please return the enclosed postcard stamped with the Patent and Trademark Office date stamp to evidence receipt of this request.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date:

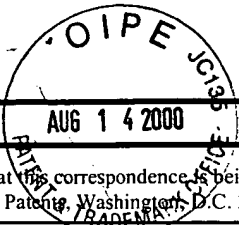
August 8, 2000

By:

  
Alan W. Canñon  
Registration No. 34,977

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield, Suite 200  
Menlo Park, California 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

F:\DOCUMENT\GUID\006CON5\Request for Corrected Filing Receipt.wpd



Receipt  
FILE COPY

AUG 14 2000				CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231					
Typed or Printed Name		Martha Cisneros			
Signature				Date	August 8, 2000

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Attorney Docket	GUID-006CON5
	First Named Inventor	Charles S. Taylor
	Application Number	09/480,826
	Filing Date	January 10, 2000
	Group Art Unit	3731
	Examiner Name	Unassigned
	Title	Access Platform for Internal...

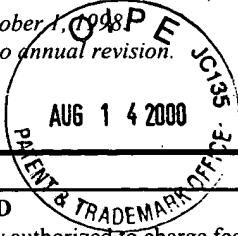
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Issue Fee Transmittal (with copy of PTOL-85B)	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition Routing Slip and Accompanying Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Information Disclosure Statement, 1449 and <input type="checkbox"/> references	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Power of Attorney <input type="checkbox"/> Revocation <input type="checkbox"/> Statement Under 37 C.F.R. 3.73(b)	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Request for Corrected Filing</u> <u>Receipt</u> <u>Return Postcard</u>
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Individual Name	Alan W. Cannon	Registration No.	34977
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		
Signature			
Date	August 8, 2000		

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34977  
JC37006 MAIL ROOM

# FEE TRANSMITTAL

Note: Effective October 1, 1998  
Patent fees are subject to annual revision.



Attorney Docket Number	GUID-006CON5
First Named Inventor	Charles S. Taylor, et al.
Application Number	09/480,826
Filing Date	January 10, 2000
Group Art Unit	3731
Examiner Name	Unassigned

## METHOD OF PAYMENT

1. ☐ A CHECK IS ENCLOSED
2. ☒ The Commissioner is hereby authorized to charge fees required under 37 C.F.R. 1.16 and 1.17 and credit any overpayments to:  
Deposit Account No. 50-0815.

Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$

### 2. CLAIMS

No. of claims as filed or after amendment		Most claims previously paid		Extra claims		Fee from below		Fee Due
Total claims	19	-	20	=	0	x	=	\$
Ind. claims	6	-	3	=	3	x	=	\$
Multiple Dependent claims						x	=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent

Subtotal (2) \$

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	Surcharge - late filing fee or oath	
139	130	139	130	Non-English specification	
115	110	215	55	Ext. for reply within first month	
117	870	217	435	Ext. for reply within third month	
128	1,850	228	925	Ext. for reply within fifth month	
120	300	220	150	Filing brief in support of appeal	
140	110	240	55	Petition to revive - unavoidable	
142	1,210	242	605	Utility issue fee (or reissue)	
123	50	123	50	Petitions related to prov. appl.	
146	690	246	345	Filing submission after final rejection (37 C.F.R. 1.129(a))	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
143	430	243	215	Design issue fee	
581	40	581	40	Recording patent assignment	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2,520	147	2,520	Filing a request for reexamination	
116	380	216	190	Ext. for reply within second month	
118	1,360	218	680	Ext. for reply within fourth month	
119	300	219	150	Notice of Appeal	
121	260	221	130	Request for oral hearing	
141	1,210	241	605	Petition to revive - unintentional	
122	130	122	130	Petitions to the Commissioner	
126	240	126	240	Submission of IDS	
149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
144	580	244	290	Plant issue fee	
Other fee (specify)					
Corrected Filing Receipt					25.

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$25.00

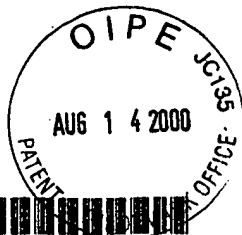
TOTAL AMOUNT ENCLOSED (\$) 25.00

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Alan W. Cannon, BOZICEVIC, FIELD & FRANCIS LLP			Reg. Number	34,977
Signature				Deposit Account	50-0815
Date	8/8/00				

## FILING RECEIPT



\*OC00000005185815\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/480,826	01/10/2000	3731	1054	249/076	62	19	6
GUID-006CONS							

22249  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES, CA 90071-2066

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025

Date Mailed: 06/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Charles S. Taylor, San Francisco, CA ;
- ✓ William N. Aldrich, Redwood City, CA ;
- ✓ Federico J. Benetti M.D., Santa Fe, ARGENTINA;
- ✓ Richard S. Ginn, San Jose, CA ;
- ✓ Dwight P. Morejohn, Davis, CA ;
- ✓ Brent Regan, Davis, CA ; → Brent Regan, Coeur d'Alene, Idaho;
- ✓ Eugene E. Reis, San Jose, CA ;
- ✓ Ivan Sepetka, Los Altos, CA ;
- ✓ William F. Witt, Palo Alto, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/385,812 08/30/1999  
WHICH IS A CON OF 08/903,516 07/30/1997 PAT 5,944,736  
WHICH IS A CON OF 08/787,748 01/27/1997 ABN  
WHICH IS A CIP OF 08/619,903 03/20/1996 PAT 5,976,171  
WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757

## Foreign Applications

If Required, Foreign Filing License Granted 03/08/2000

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JUN 23 2000  
U.S. PROSECUTION

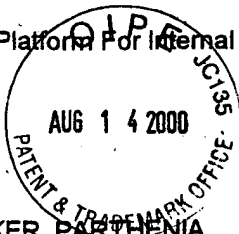
PP 7/20  
DOCKETED

**Title**

Access Platform For Internal Mammary Dissection

**Preliminary Class**

606



Data entry by : WALKER, PARTHENIA

Team : OIPE

Date: 06/19/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

<b>SERIAL NUMBER</b> 09/480,826	<b>FILING DATE</b> 01/10/2000 <b>RULE</b> —	<b>CLASS</b> 606	<b>GROUP ART UNIT</b> 3731	<b>ATTORNEY DOCKET NO.</b> GUID-006CON5
<b>APPLICANTS</b> Charles S. Taylor, San Francisco, CA ; William N. Aldrich, Redwood City, CA ; Federico J. Benetti M.D., Santa Fe, ARGENTINA; Richard S. Ginn, San Jose, CA ; Dwight P. Morejohn, Davis, CA ; Brent Regan, Coeur d'Alene, ID ; Eugene E. Reis, San Jose, CA ; Ivan Sepetka, Los Altos, CA ; William F. Witt, Palo Alto, CA ;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CON OF 09/385,812 08/30/1999 WHICH IS A CON OF 08/903,516 07/30/1997 PAT 5,944,736 WHICH IS A CON OF 08/787,748 01/27/1997 ABN WHICH IS A CIP OF 08/619,903 03/20/1996 PAT 5,976,171 WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE</b> <b>GRANTED ** 03/08/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 62	<b>TOTAL CLAIMS</b> 19 <b>INDEPENDENT CLAIMS</b> 6
Verified and Acknowledged Examiner's Signature _____ Initials _____				
<b>ADDRESS</b> BOZICEVIC FIELD & FRANCIS LLP 200 Middlefield Suite 200 Menlo Park ,CA 94025				
<b>TITLE</b> Access platform for internal mammary dissection				
<b>FILING FEE RECEIVED</b> 1054	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees	
			<input type="checkbox"/> 1.16 Fees ( Filing )	
			<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )	
			<input type="checkbox"/> 1.18 Fees ( Issue )	
			<input type="checkbox"/> Other _____	
			<input type="checkbox"/> Credit	